1 2 3 4 5 6 7 CITY OF SEATTLE 8 OFFICE OF THE HEARING EXAMINER 9 10 In the Matter of the Application of: Hearing Examiner File No. CF-314346 Department Reference: 3023261 11 UNIVERSITY OF WASHINGTON APPLICANT'S POST-HEARING BRIEF 12 for approval of a Major Institution Master Plan 13 14 **INTRODUCTION** T. The University of Washington requests that the Hearing Examiner recommend approval of 15 16 its proposed 2018 Campus Master Plan. The Seattle Department of Construction and Inspections 17 ("SDCI") also recommends approval with certain conditions. The City-University Community 18 Advisory Committee ("CUCAC") generally supports the Master Plan with 33 recommendations. 19 The University has agreed to many of CUCAC's recommendations, as detailed in Appendix A to 20 its Pre-Hearing Brief, and has accepted almost all of SDCI's recommended conditions. 21 While the parties are largely in agreement, there are still a few areas of disagreement. 22 Their differences center on: (a) the relationship of the Master Plan to the regulatory framework in 23 which it is prepared; (b) the City's authority to impose housing and transportation conditions on 24 the Master Plan; and (c) the necessity, reasonableness, and proportionality of certain proposed 25 transportation conditions. Neither the law nor the record support SDCI's position in these areas. 26

### II. APPENDICES TO BRIEF

There are three appendices attached to this brief.

Appendix A identifies which of SDCI's conditions the University has accepted. In some instances, the agreement includes revised language, as set out in Appendix A, and the reasoning behind the agreement.

Appendix B contains non-substantive line edits to the Master Plan. They are intended to clarify the Plan, thereby improving the usability of the document. SDCI has agreed that these line edits are appropriate.

Appendix C contains the University's proposed findings of fact and conclusions of law on particular topic areas.

### III. SUMMARY OF EVIDENCE

A. The University of Washington is a state agency whose funding depends on taxpayers, students and their families, and private philanthropy.

The University of Washington is a state institution of higher education. Because it is a public agency, the University's growth differs from private-sector growth. Unlike private industry, the University does not control its revenue sources. Its funding depends on the public. President Ana Mari Cauce testified that the University's main funding sources are legislative appropriations, tuition and fees, and grants and private gifts.

Sally Clark explained that most funding comes with strings attached. Almost all gifts and grants are restricted to specific programs. Legislative appropriations for specific statewide initiatives do not always include the University. As an example, Ms. Clark described a State program providing transit passes to employees in a few state agencies. The Legislature excluded the University's employees from that program. While the University would like the program to include its employees, that decision is in the hands of the Legislature—not the University. What is more, that funding would be tied to the transit passes themselves. It could not be used to pay for other transportation pilot programs or mitigation that might be imposed on the Master Plan.

In the context of physical development (and related mitigation), the University must use central University funds to pay for building-project expenses unless other sources, such as grants, gifts, or dedicated state appropriations, provide funds to cover the expense. Theresa Doherty testified that most of the University's operating funds (approximately 65 cents of every dollar) come from tuition and fees, not legislative appropriations. Unless the expense of mitigation imposed on the Master Plan is funded by the Legislature or by a grant or gift, the University's students and families must pay the bill. This is important to remember when assessing SDCI's proposed conditions.

### B. The University provides significant public benefits.

President Cauce explained that the University's fundamental mission is to serve the public through higher education, research, and patient care. She testified that access to higher education creates economic opportunity, especially for low and middle income students. Bachelor's degree holders earn an average of \$1 million more over their life. Beyond improving the graduate's life, the transformative power of higher education also affects their families and community. (Exhibit A28). The University is focused on increasing access for low- and middle-income students. The Husky Promise, a program providing tuition assistance for every income-qualifying Washington resident who gains admission, has helped some 39,000 students attend the University in the last ten years. (Exhibit A31). The University is also committed to increasing enrollment for first-generation students. A record-breaking 37 percent of its 2017 freshman class is composed of students who are the first person in their family to attend college. (*Id.*).

Other witnesses described how research and innovation on campus serves the public.

Professor Ed Lazowska testified to several life-saving break-throughs, including the creation of Medic One, the discovery of fetal alcohol syndrome, the development of the Hepatitis B vaccine, and the invention of portable kidney dialysis machines. In the technology realm, he said that University student and faculty researchers created the OneBusAway transit application, solved an

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AIDS-related protein structure problem with a video game, and developed facial age-progression software now used to search for missing children.

The University's research and innovation goes beyond science and technology. It includes solving big social challenges, including housing affordability and homelessness. Professor Thaisa Way and Ms. Clark described the Doorway Project, a new partnership with YouthCare aimed at reaching homeless young people in the University District. The Doorway Project will host quarterly pop-up events to connect with young people struggling to find shelter, get a job, or go back to school. (Exhibit A35). The University has also launched a partnership with Seattle Housing Authority to construct 150 units of affordable housing on University-owned property off campus in the University District. (Exhibit D14). Other programs provide access to affordable housing for faculty and staff—including the Bridges @11th development and the Hometown Home Loan Program. These initiatives outside of the Master Plan demonstrate the University's commitment to helping address Seattle's affordable housing challenges.

Finally, President Cauce noted that the University is a major economic driver and provider of charity care. The University provided \$360 million in uncompensated care in 2016, and is the largest provider of charity care in the state. (Exhibit A27). A 2014 study found that the University also generates \$12.5 billion in annual economic activity in the state, resulting in an estimated \$273 million in tax revenue for the City of Seattle. (Exhibit A26).

As President Cauce observed, the University of Washington should really be called the University *for* Washington.

# C. The development proposed in the Campus Master Plan is necessary to allow the University of Washington to fulfill its academic mission.

The growth allowed under the Master Plan will help maintain and expand the myriad benefits the University provides the citizens of Seattle and the state. President Cauce, Professor Way, and Professor Lazowska testified to significant gaps between in-state demand for post-secondary education and the state's ability to provide it. Washington ranks in the bottom third of

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states in degree production. (Exhibit A28). In science, technology, engineering, and mathematics, our state ranks first in employment opportunities but 34th in production of relevant bachelor's degrees, 40th in master's degrees, and 30th in doctoral degrees. To close the gaps, the Legislature has established a goal of ensuring that at least 70 percent of Washington's adults aged 25 to 44 will have a post-secondary credential. (Exhibits A29, A30). Enrollments at the University must (and are expected to) increase as part of the effort to close the gap. The University must expand its facilities to accommodate this growth.

Caitlin Clauson described how the University projected these space needs when setting the growth allowance in the Master Plan. The University's faculty also explained how the Master Plan will allow it to close a qualitative gap in facilities. They testified that modern teaching methods require space for hands-on learning, interdepartmental collaboration, experimentation, and community engagement. Professor Way testified that community engagement is a central feature of modern teaching. The spaces envisioned in the "Innovation District" will support this kind of teaching. The Master Plan will also allow the University to modernize its laboratory and research spaces. Professor Lazowska pointed out that the aeronautical engineering department uses a wind tunnel constructed in the 1930s, before there were jets. He also noted that emerging fields of study, like nanotechnology, did not exist ten years ago and now need space on campus.

The City-University Agreement requires SDCI to consider "the need for University development to allow the University to fulfill its mission of public instruction, research, and services...." CUA § II.B.8.d.<sup>1</sup> SDCI did not rebut the University's evidence on this point. The Hearing Examiner must therefore find the Master Plan will allow the University to develop the space required to fulfill its mission of public instruction, research, and service.

### D. The University engaged all stakeholders throughout the Master Plan process.

The Master Plan and the environmental impact statement ("<u>EIS</u>") behind it are the culmination of a robust multi-year public-involvement process that reached thousands of

<sup>&</sup>lt;sup>1</sup> This brief cites the City-University Agreement as the "CUA."

to develop a Public Participation Plan to guide the University's public engagement efforts.

(See Exhibit D2 at 280-86). Those efforts included mailings, newspaper notices, a website, email, open houses, and other outreach to ensure broad dissemination of information and ample input.

Ms. Doherty testified that the University received many comments as a result of the process and considered all of them as it revised and finalized the Master Plan.

stakeholders. Julie Blakeslee and Ms. Doherty testified that the University worked with CUCAC

### 1. The University actively pursued full engagement from CUCAC.

Ms. Clark, an ex-officio member of CUCAC, described the University's special efforts to engage that group. University representatives met with every member organization to emphasize the importance of their involvement. They also made numerous presentations to the group to describe the process and the contents of the draft and final Master Plan. The University also paid for a facilitator to guide CUCAC's discussions to gain clear feedback and ensure full and equal participation by all members. CUCAC feedback was informed and meaningful.

# 2. The University provided all environmental review notices required by SEPA and its Public Participation Plan.

For the environmental review process, Ms. Blakeslee testified that the University followed the Public Participation Plan and requirements in the State Environmental Policy Act, ch. 43.21C RCW ("SEPA"). There was a 21-day public scoping period in October 2015 for which the University provided notice through mailings, newspaper notices, and emails. (Exhibit A15). It provided a 45-day comment period after issuing the draft EIS ("DEIS"). (Exhibit A16). During this time, the University also engaged stakeholders at three open houses, two online open houses, three drop-in office hour sessions, community meetings, and a formal public hearing. When the University issued the final EIS ("FEIS")<sup>2</sup> in July 2017, it again provided notice through mailings, newspaper notices, and emails. (Exhibit A17). The scoping and publication notices were also published in the Department of Ecology's SEPA Register. (Exhibits A12-A14).

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<sup>&</sup>lt;sup>2</sup> The DEIS and FEIS together comprise the EIS.

## E. The FEIS provides detailed analysis of potential environmental impacts and responses to every comment to the DEIS.

Rich Schipanski, the primary author of the EIS, discussed the alternatives analyzed in the document, the elements of the environment studied, and the approach to comments on the DEIS. The EIS contains a "no action" alternative and five "action" alternatives based on the objectives of the Master Plan and comments received during the scoping process. All action alternatives would meet the objectives of the Master Plan and reflect a growth allowance of six million net new gross square feet within the University's Major Institution Overlay ("MIO") boundaries. Alternative 1, the preferred alternative, reflects the Master Plan. Alternative 2 studies the same development distribution with no height increases. Alternative 3 maintains the height increases in the Master Plan but shifts development capacity to West Campus and up to 20 percent in South Campus. Alternative 4 studies the same height increases with the same capacity in West Campus and capacity shifted to Central and East Campus. Alternative 5 assumes the potential street vacation of a portion of Northlake Place does not occur.

The EIS studied 17 elements of the environment. For each, the EIS identified potential significant adverse impacts and, if applicable, proposed mitigation measures. It also includes sensitivity maps showing the likelihood that development in certain areas would cause impacts. A summary of the EIS's conclusions regarding impacts and mitigation is on pages 1-10 to 1-39 of the FEIS. (Exhibit A19). Mr. Schipanski noted that additional SEPA review, including utility review, would be conducted with the development of individual sites in the Master Plan.

The FEIS also responded to each of the approximately 1,100 individual comments on the DEIS. The responses took four forms. First, as Ms. Doherty testified, the University made several changes to the Master Plan based on comments. Second, the University added supplemental information and analysis in the FEIS.<sup>3</sup> Third, the University prepared 15 "Key Topics" to address themes that emerged from the comments. (*See id.*, Ch. 4). Fourth, the University wrote individual

<sup>&</sup>lt;sup>3</sup> For ease of reference, all of the information added was highlighted in gray in the FEIS.

responses to each comment. (*See id.*, Ch. 5). Two areas of analysis are particularly relevant here: (1) housing; and (2) transportation.

## 1. The EIS concluded that the Plan will not have a significant unavoidable adverse impact on housing in the primary and secondary impact zones.

With respect to housing, the EIS concludes that the Plan will not have significant adverse impacts in the primary and secondary impact zones. The EIS is the only analysis in the record. Cheryl Waldman testified that SDCI conducted no independent analysis. The EIS and testimony from Morgan Shook explain that increases in development capacity in the primary and secondary impact zones, improvements in regional transportation, and the effect of city-wide affordable housing programs will create more than enough appropriately priced supply to cover the increase in demand expected to result from development under the Master Plan.

On the demand side, the EIS concluded that the number of faculty, staff, and students seeking housing will increase by 2,517 in the primary impact zone and 641 in the secondary impact zone. (*Id.* at 3.8-27 (Table 3.8-12)). This is so even though enrollment is estimated to increase by 8,675 students and the number of faculty and staff is estimated to increase by 4,649. (*Id.*). Demand in the primary and secondary impact zones is lower than overall growth because most of the University's population does not live in those zones. Almost half do not live in Seattle at all. Student registration data shows that 43 percent of the off-campus student population lives outside city limits, and the remainder is widely dispersed throughout Seattle. (*Id.* at 3.8-11 (Table 3.8-4)). Employment data shows that the same percentage of faculty and staff live outside of Seattle, and only 5 percent live in the University District. (*Id.* at 3.8-13 (Table 3.8-5)). The EIS also notes that transportation improvements—including light rail—will allow more students, faculty, and staff to live outside the primary and secondary impact zones and still have easy access to campus. (*Id.* at 3.8-31 to -34). The EIS's demand assumptions are thus conservative.

On the supply side, the EIS concluded that the expected demand falls well within the City's existing expectations for housing unit supply and zoned capacity in the University District

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alone. In the U-District Urban Design Final EIS, the City assumed that 5,000 new housing units would be constructed under then-existing zoning. (See Exhibit A19 at 3.8-19 (Table 3.8-8)). That projection was based on a zoned development capacity of 6,600 units. (Id.). The upzone increased capacity to an estimated 9,802 units, so it is reasonable to assume the housing unit supply will increase by more than 5,000 units. (Id.). Even with no increase, the City's current assumption of 5,000 units means the percentage housing stock in the University District used by the University's population will decrease despite the growth assumed in the Master Plan. (See id. at 3.8-29). The EIS also analyzed the effect expanded transit will have on the range of housing choices available to the University's population. (*Id.* at 3.8-30 to 3.8-33). It concluded that a higher percentage of the University's population is likely live outside the primary and secondary impact zones as a result of expanded transit options. (Id.). The EIS also recognized that city-wide initiatives, such as the Mandatory Housing Affordability ("MHA") program and Multi-Family Tax Exemption, will mitigate the effect of increased demand on housing costs. (*Id.* at 3.8-35 and 3.8-36). Given ample expected housing stock in the University District alone, additional zoned capacity, wider housing choices due to expanded transit, and city-wide housing initiatives, the EIS conservatively concluded there will be sufficient housing supply to cover the Master Plan's expected growth.

In rebuttal, SDCI argued only that city-wide housing initiatives are not a panacea. Ms. Waldman pointed to the final environmental impact statement for the MHA program and the draft environmental impact statement for the 2035 Comprehensive Plan to support this argument. (*See* Exhibit D25 at 3.98; Exhibit D27 at 3.6-34). She later conceded that those two environmental impact statements do not supersede the housing projections in the U-District Urban Design EIS, and she admitted the City did not independently study the Master Plan's impacts on housing.

### 2. The EIS thoroughly analyzed and identified potential transportation impacts.

The EIS also analyzes the Master Plan's potential impacts on transportation in the primary and secondary impact zones. John Shaw testified that the EIS is the only environmental analysis of transportation impacts. The EIS includes a 300-page Transportation Discipline Report ("TDR")

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containing the data and analysis supporting the discussion and conclusions in the FEIS. (Exhibit A19, App. D). The TDR includes an 800-page appendix with level of service worksheets and analysis methods and assumptions. The EIS synthesized the TDR's findings and summarized potential impacts to all relevant transportation modes in the primary and secondary impact zones, including vehicles, transit, pedestrian, bicycle, and parking. (*See id.* at 3.16-1 to 3.16-90). To determine impacts, it applied various performance measures for each transportation mode. (*Id.* at 3.16-28 (Table 3.16-17) to 3.16-33). These measures included total vehicle trips, intersection levels of service, vehicle and transit speeds, transit stop capacity, and transit coach capacity. The EIS includes four findings and assumptions relevant here.

### a. The conclusions in the EIS are based on conservative assumptions.

First, the analysis in the EIS is conservative. It assumes the University has developed the entire six million square feet authorized in the Master Plan, even though that growth will occur incrementally over a decade or more just as it has under the 2003 Campus Master Plan. The EIS is also conservative in its mode-split assumption. The Master Plan requires the University to achieve a 15 percent single-occupancy vehicle ("SOV") mode-split with real consequences for failing to meet the mark. (Exhibit D2 at 261). The University will also continue to cap its SOV trips. With these commitments, the University has improved on its longstanding commitment to maintain University trips at 1990 levels even though the campus population has grown 35 percent since then. (Exhibit A19 at 3.16-22). Despite the 15-percent requirement, the EIS assumes a 20-percent SOV mode split. (*Id.* at 3.16-26). Notably, a 20-percent mode split already compares favorably to other large universities in urban settings, and it would be far below the level set for other major institutions in Seattle. (*See id.* at 3.16-3 to 3.16-6). Recognizing the conservative nature of the assumptions is vital to determining reasonableness and proportionality in SDCI's conditions.

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<sup>&</sup>lt;sup>4</sup> For major institution developments, the Seattle Municipal Code establishes a goal of maximum 50 percent single-occupancy-vehicles. SMC 23.54.016.C.1. Seattle University's master plan sets a goal of 35 percent. Ord. 118667 (2013). Swedish Cherry Hill's master plan sets a goal of 32 percent to be achieved by 2034. Ord. 118655 (2016).

# b. Reduced vehicle and transit speeds are a way to measure the impact of congestion, not a way to measure the cause of congestion.

Second, the EIS references transit and vehicle speeds only as a measure of effectiveness for analyzing the Master Plan's impact on traffic congestion. (*See* Exhibit A19 at 3.16-50 (Table 3.16-18) and at 3.16-60 (Table 3.16-26)). Nothing in the EIS suggests this is a valid basis for *pro rata* contributions to improvements intended to reduce congestion. Mike Swenson explained in rebuttal testimony that reduced speeds are a symptom of increased congestion caused by increases in the number of vehicle trips at the signalized intersections. A project's contribution to mitigation should be based on the cause, not the effect. Mr. Shaw agreed that the common way to assess mitigation contributions is to base it on the project's proportionate share of traffic counts. He could recall no other instance in which the City had used speed reduction as a basis for mitigation. These facts are crucial to determining an appropriate percentage for the University's contributions to transportation mitigation projects.

### c. Transit delays are almost entirely due to reduced vehicle speeds.

Third, the conclusions in the EIS do not justify distinguishing transit delays from reduced vehicle speeds in general. The EIS identified reduced vehicle speeds as an impact. (*Id.* at 3.16-60 (Table 3.16-26)). It also identified reduced transit speeds as an impact. (*Id.* at 3.16-50 (Table 3.16-18)). King County Metro explained that "nearly 90% of that delay is due to congestion with the other 10% due to increased dwell time." (Exhibit D17). SDOT's mobility director, Andrew Glass-Hastings, agreed traffic congestion is 90 percent of the reason for transit delays. Mitigation aimed at traffic congestion will resolve 90 percent of the cause for transit delays.

## d. There is already sufficient transit capacity to accommodate transit passenger growth resulting from a full build-out of the Master Plan.

Fourth and finally, the EIS concluded that transit demand increases caused by growth under the Master Plan can be accommodated without additional mitigation. (Exhibit A19 at 3.16-51). The EIS analyzed transit demand-to-capacity ratios on twelve transit lines and at two light rail stations in the primary and secondary impact zones. (*See id.*) The analysis looked at

screenline data in the aggregate, factoring in background growth,<sup>5</sup> to ensure the total demand resulting from the Master Plan could be accommodated. (See id.) Jeanne Acutanza, the University's transit consultant, explained in direct testimony that the analysis was intended to test aggregate capacity, not capacity on particular routes. She testified, without dispute from SDCI or SDOT, that this approach (and all of the other transportation methods and assumptions) was vetted with both agencies prior to completion of the EIS.

Further, this approach reflects a conservative estimate of transit demand based on its underlying assumptions. The analysis was based on seated bus and train capacity identified in industry manuals. (Id., App. D at 4-30). The analysis also took a conservative approach regarding assigning trips to light rail. Only 20 percent of total bus trips on the Campus Parkway / Brooklyn screenline were reassigned to light rail. (Id., App. D at App. B, 8-12.) This is far less than the approximately 60 percent of faculty and staff expected to live within a half mile of light rail facilities by 2024. (*Id.*, App. D at 2-7 to 2-9).

Without the Master Plan, total demand for transit would be 61 percent of capacity in the afternoon peak hour. With the Master Plan fully built out, demand is projected to be 67 percent of capacity. (Id. at 3.16-52 to 3.16-53 (Tables 3.16-20 and -21)). All but one of the studied transit screenlines would operate at no more than 80 percent of capacity even at full-build out. (Id. at 3.16-53 (Table 3.16-21)). The one exception is the screenline eastbound on Campus Parkway at Brooklyn Avenue, where Metro is expected to reduce transit capacity in favor of light rail service as part of its Metro Connects plan. (See id.).

These factors are important to recognize in deciding whether the Master Plan will affect transit capacity and whether there is a significant adverse impact at all.

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<sup>&</sup>lt;sup>5</sup> The background growth estimates were also conservative. They are set at 12 percent in most cases, which is 6 percent higher than the growth assumptions in the City's 2035 Comprehensive Plan travel-demand model. (Exhibit A19, App. D at App. B, 8-10).

#### IV. LEGAL ANALYSIS

SDCI recommends approval of the Master Plan subject to certain conditions. The parties agree on almost all of them, but a handful remain in dispute. The analysis below explains why SDCI's position in the areas of disagreement is unreasonable and inconsistent with the law.

# A. The City appears to have misunderstood the three-tiered regulatory framework that governs the land use decision here.

As a preliminary matter, SDCI appears to misunderstand the Master Plan's role in the governing regulatory framework. This mistake is apparent in efforts to restrict the authority to set development standards in the Master Plan and in proposed affordable-housing conditions. The governing framework begins with the Growth Management Act, ch. 36.70A RCW ("GMA"), which establishes a multi-tiered land use planning hierarchy with different requirements at each level. *See Laurelhurst Cmty. Club v. City of Seattle*, Central Puget Sound Growth Mgmt. Hearings Bd., Case No. 03-3-0008, 2003 WL 22896421, at \*8 (June 18, 2003) ("*Laurelhurst I*"). Three tiers are relevant here. The first tier is the "comprehensive plan" level, where the local jurisdiction sets out general area-wide policy objectives. *See* RCW 36.70A.040 *et seq.* The second tier is the "development regulations" level, where local jurisdictions enact land use regulations, which are development controls aimed at achieving the policy objectives in the comprehensive plan. RCW 36.70A.040(3); *see also Laurelhurst I*, 2003 WL at \*8. The third tier is where the local jurisdiction makes individual land use decisions on specific proposals. *See* RCW 36.70B.020; *see also Laurelhurst I*, 2003 WL at \*8.

The relevant first-tier regulation is the Seattle 2035 Comprehensive Plan ("Comp. Plan"). Consistent with the GMA framework, the Comprehensive Plan acknowledges that it is not an independent basis for individual land use decisions:

The City will use the [Comprehensive] Plan to help make decisions about proposed ordinances, capital budgets, policies, and programs. Although the City will use the [Comprehensive] Plan to direct the development of regulations that govern land use and development, it will not use the [Comprehensive] Plan to review applications for specific development

projects, except when an applicable development regulation expressly requires reference to this Comprehensive Plan....

See Comp. Plan at 17 (last amended by Ord. 125428). Instead, the Comprehensive Plan says it should guide the enactment of "second-tier" land use regulations used to review specific projects.

In this instance, those second-tier regulations are in chapter 23.69 of the Seattle Municipal Code, ("MIO Chapter") and in the City-University Agreement. The Central Puget Sound Growth Management Hearings Board has held that the City-University Agreement is the development regulation governing the University's land use activities on campus:

[The City-University] Agreement, codified at SMC 23.69.006(B), clearly has the effect of being a local land use regulation, subject to the goals and requirements of the GMA. The fact that the City has codified all aspects of the [City-University] Agreement in SMC 23.69.006(B) means that it intends for the Agreement to control land use activities involving the University.

Laurelhurst Cmty. Club v. City of Seattle, Central Puget Sound Growth Mgmt. Hearings Bd., Case No. 03-3-0016, 2004 WL 3275206, at \*11 (March 3, 2004) ("Laurelhurst II"). The Board also observed that the Master Plan "is governed by GMA development regulations, namely, the MIO [Chapter] and the 1998 City-University Agreement." Laurelhurst I, 2003 WL at \*8.

The third-tier action here is the pending decision on the Master Plan. In *Laurelhurst I*, the opponents of the 2003 Campus Master Plan argued it was a subarea plan subject to the Growth Management Hearings Board's review for compliance with the GMA. *Id.* at \*5-7. In opposition, the University and the City jointly argued that the 2003 Campus Master Plan was instead a major institution master plan developed under the MIO Chapter and the City-University Agreement. *Id.* at \*5. The Board held that the 2003 Master Plan is not a subarea plan but, rather "part of a permit application process resulting from a development regulation." *Id.* at \*9. The Board described the University's master plans as the functional equivalent of a site plan approval. *Id.* at \*8.

In sum, there are three relevant regulatory tiers. The first is the Comprehensive Plan. The second is the MIO Chapter and the City-University Agreement. The third is the Master Plan approval itself. SDCI misconstrues this framework in at least two of its arguments.

## B. The City-University Agreement regulates land use activities on campus and requires the Master Plan to contain all applicable development standards.

SDCI's misconception first manifests in Conditions 29, 30, 34, 35, and 39, which are predicated on the erroneous view that the Master Plan may modify only the development standards tied to the underlying zoning and not standards of general application. SDCI contends the City-University Agreement and the Master Plan are merely "creatures of the Code and must remain within its bounds." (SDCI's Pre-Hearing Br. at 1). The City-University Agreement is not a subordinate creature of the land use code. It is instead "a development regulation since the City has adopted it in its *entirety* into its code." *Laurelhurst II*, 2004 WL at \*11 (emphasis in original). This puts it on equal footing with other sections of the Seattle Municipal Code. Indeed, the Agreement was adopted specifically "to control land use activities involving the University." *Id*.

Given this context, the City's major-institution master planning process is governed by two parallel regulatory schemes. For the University, the City-University Agreement governs "the master plan process (formulation, approval and amendment)." SMC 23.69.006.B. For all other major institutions, the master plan process is governed by SMC 23.69.025 *et seq*. The approval process for the University's master plan is in section II.B of the City-University Agreement. The process for all other major-institution master plans is in SMC 23.69.032. The required contents of the University's master plan is in section II.A of the City-University Agreement. The required contents for all other major-institution master plans is in SMC 23.69.030. Unlike the University's master plan, the development standards to be identified and modified are limited to the standards of the underlying zone. *See* SMC 23.69.030. This distinction was a deliberate choice. If the City felt broader authority for the University's master plan was inappropriate, section II.A of the City-University Agreement would have parroted SMC 23.69.030 or the City would not have entered into the City-University Agreement and adopted it into law. *But see* Ord. 121688.

<sup>&</sup>lt;sup>6</sup> CUCAC's involvement in the Master Plan is established in SMC 23.69.032.B.9.

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SDCI now wants to re-write the clause "institutional zone and development standards to be used by the University," in section II.A.1.d to say: "institutional zone and <u>any modified</u> development standards <u>of the underlying zoning.</u>" (SDCI Pre-Hearing Br. at 7 (emphasis in original)). The law does not allow this. Land use regulations are "in derogation of the common law right to use property so as to realize its highest utility and should not be extended by implication to cases not clearly within the scope of the purpose and intent manifest in their language." *Dev. Servs. of Am., Inc. v. City of Seattle*, 138 Wn.2d 107, 117, 979 P.2d 387 (1999). The words SDCI urges are not in the City-University Agreement and their insertion would fundamentally restrict how the Master Plan governs development on campus.

SDCI argues that the second sentence in SMC 23.69.006.B compels the re-write. The rules of statutory interpretation do not support SDCI's position. Statutes "must be construed as a whole, considering all provisions in relation to one another and harmonizing all rather than rendering any superfluous." State v. Bunker, 169 Wn.2d 571, 578, 238 P.3d 487 (2010); see also Hensley v. Snohomish County, Central Puget Sound Growth Mgmt. Hearings Bd., Case No. 95-3-0043, 1995 WL 903186, at \*5 (Nov. 3, 1995) ("one provision may not thwart another"). The City-University Agreement and the MIO Chapter must be read together, as a whole, and individual terms must be harmonized to give effect to all of them. The second sentence in SMC 23.69.006.B, on which SDCI relies, must be harmonized with the requirement that the University's master plan include "the institutional zone and development standards to be used by the University." CUA § II.A.1.d. The only way to do that is to treat them as cumulative—i.e., the Master Plan must set out all of the institutional zone and development standards to be used by the University and in doing so may include modified standards of the underlying zoning. The broader statutory context supports this interpretation. All other major institutions may modify the development standards of the underlying zoning, see SMC 23.69.028.A.1, so it makes sense that the University would have the same ability. It also makes sense that the University's master plan could set out all other institutional zone and development standards to be used on the campus because of its unique

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position as a state institution of higher education and the unique planning history on the campus. See CUA Recitals § 2.

Finally, SDCI's argument is contrary to its own actions. Ms. Blakeslee, testified about a recent experience involving a new sign for Alaska Airlines Arena at Hec Edmundson Pavilion. The 2003 Master Plan includes specific standards for signs on campus, including signs visible from surrounding off-campus areas. (*See* Exhibit A23 at 131). These standards differ from the City's Sign Code, ch. 23.55 SMC, and from general sign standards in SMC 23.69.021, governing signs in major institution overlay districts. After some discussion about which standards governed the new sign, Steve Sampson, Senior Inspector with SDCI, agreed that the standards in the 2003 Campus Master Plan governed: "After consulting with some of our land use people here, I have been advised that the [2003 Master Plan] overrides City of Seattle's Land Use Code for signs (23.55)." (Exhibit A32). Consistent with this recent act, SDCI did not object to inclusion of sign standards in the Master Plan. (*See* Exhibit D2 at 245). SDCI agrees in practice that the Master Plan may modify development standards not tied to underlying zoning, which SDCI defined its Pre-Hearing Brief to include only "SMC Chapters 23.43 through 23.51B, SMC 23.54.016.B, and SMC 23.54.060." (SDCI Pre-Hearing Br. at 2).

In sum, the Hearing Examiner should reject SDCI's attempt to re-write the regulations governing what must and may be included in the Master Plan. The position SDCI has taken here contradicts its own actions in other contexts, and it contradicts the law of statutory interpretation. Rather than the overly restrictive interpretation SDCI urges, the broader statutory context and the requirement to harmonize statutes support a cumulative interpretation—the City-University Agreement and SMC 23.69.006B require the University's master plan to include all of the institutional zone and development standards to be used by the University, and in doing so the master plan may modify standards of the underlying zoning. This reading follows SDCI's past practices and governing law. SDCI's attempt to read non-existent restrictive language into the City-University Agreement should be rejected.

## C. The City lacks authority to impose its affordable housing conditions.

SDCI's misunderstanding of the regulatory framework also manifests in its affordable housing conditions. The issue is not whether building affordable housing is a good idea. The issue is whether the law allows the City to impose an affordable housing condition on the Master Plan. It does not. There are two reasons the Hearing Examiner should not recommend it here.

# 1. The City lacks authority to condition a site-specific proposal like the Master Plan solely on Seattle's Comprehensive Plan.

First, allowing SDCI's affordable housing conditions would skew the long-recognized regulatory framework governing the master plan process. Under the GMA hierarchy, local jurisdictions cannot rely on comprehensive plan policies alone to evaluate site-specific approvals; they must enact and implement development regulations to control and condition site-specific development permits. *See Citizens for Mt. Vernon v. City of Mt. Vernon*, 133 Wn.2d 861, 873, 947 P.2d 1208 (1997). Comprehensive plans guide adoption of second-tier development regulations, which prescribe specific standards implementing comprehensive plan objectives. *See* RCW 36.70A.040. Individual land use decisions must "only generally conform, rather than strictly conform, to the comprehensive plan" whereas "local development regulations, including zoning regulations, [are what] directly constrain individual land use decisions." *Woods v. Kittitas County*, 162 Wn.2d 597, 613, 174 P.3d 25 (2007) (citing *Viking Props., Inc. v. Holm*, 155 Wn.2d 112, 126, 118 P.3d 322 (2005)).

Ms. Waldman testified that SDCI's affordable housing conditions are based solely on Policy H 5.19 of the Comprehensive Plan. In an effort to fit this recommendation within the GMA framework, SDCI declares that the Master Plan "is likely a development regulation" and must therefore implement the Comprehensive Plan. (SDCI Pre-Hearing Br. at 11). This is exactly the opposite of the position the City took in 2003, when it joined the University in arguing that the 2003 Campus Master Plan is an individual land use approval. *Laurelhurst I*, 2003 WL at \*4. SDCI cites no authority for the contrary position it has taken here. This is because the Growth

Management Hearings Board agreed in 2003 that University's master plan "is a 'site plan approval' land use decision." *Id.* at \*8.

Despite its own prior position and controlling authority, SDCI suggests that the Master Plan must be a development regulation because the EIS describes it as a "non-project action." The phrase "non-project action" is a term of art that refers to the level of analysis required in an environmental document. *See* WAC 197-11-442 (specifying the required contents of an EIS for non-project proposals). Treating the Master Plan as a "non-project action" for environmental review does not make it a development regulation in the GMA context. Because the Master Plan is an individual land use decision, it need not directly implement the Comprehensive Plan.

Further, Policy H 5.19 does not give SDCI the authority it claims. That policy says only that the City should "consider" requiring income-restricted housing. The Comprehensive Plan specifically addresses the meaning of such words:

Some policies use the words shall, should, ensure, encourage, and so forth. In general, such words describe the emphasis that the policy places on the action but do not necessarily establish a specific legal duty to perform a particular act, to undertake a program or project, or to achieve a specific result.

Comp. Plan at 17 (emphasis added). The policy does not mandate an affordable-housing condition on major institution master plans. It merely encourages the City to consider implementing development regulations or some other program that would require income-restricted housing with major institution master plans or development agreements. If the City considers the policy outlined in Policy H 5.19 and decides to implement it, the City must first amend its development regulations. For this Master Plan, the City could have sought an amendment to the City-University Agreement, SMC 23.69.006.B, or both before the process began. Cheryl Waldman admitted the City has done none of that.

<sup>&</sup>lt;sup>7</sup> A non-project action means "actions which are different or broader than a single site specific project, such as plans, policies, and programs." WAC 197-11-774. The Master Plan is consistent with this definition because it contemplates and addresses many site-specific projects on campus.

Last, SDCI asserts in its Pre-Hearing Brief that Policy H 5.19 is a land use policy it had to consider in reviewing the Master Plan. (SDCI Pre-Hearing Br. at 12). Again, SDCI misinterprets the City-University Agreement. The Agreement requires SDCI to consider "neighborhood plans and policies adopted by ordinance, SEPA, and other applicable land use policies and regulations of the City." CUA § II.B.8.d.8 SDCI interprets the clause "applicable land use policies" broadly to encompass any policy in the Comprehensive Plan that relates to land use. (SDCI Pre-Hearing Br. at 12). That interpretation goes too far because it renders the clause "neighborhood plans and policies adopted by ordinance" superfluous. *But see Bunker*, 169 Wn.2d at 578. Adopted neighborhood plans *are* sections of the Comprehensive Plan, so the City-University Agreement would not need to refer to such plans specifically if it meant for SDCI to consider every policy that touches on land use. Thus, the phrase "other applicable land use policies and regulations of the City" cannot refer to every section of the Comprehensive Plan; instead, it is limited to land use policies and regulations of the City found elsewhere. As Policy H 5.19 is not part of the adopted University Community neighborhood plan (or any other neighborhood plan), the City cannot consider Policy H 5.19 or use it as the sole basis to condition the Master Plan.

# 2. The City has no authority under SEPA or the City-University Agreement to impose an affordable housing condition on the Master Plan.

Second, there is no basis under SEPA or the City-University Agreement to impose an affordable housing condition. Under SEPA Rules, mitigation must "be related to specific, adverse environmental impacts clearly identified in an environmental document on the proposal...." SMC 25.05.660.A.2. Similarly, while City-University Agreement allows SDCI to propose mitigation, it must be tied to an assessment of identified impacts. CUA § II.B.8.d. The University's EIS is the only assessment of the Master Plan's housing impacts in the record. The EIS concludes that the Master Plan will not have significant adverse impacts on housing. SDCI's conclusion that the Master Plan "would affect housing affordability" has no evidentiary support. (*See* SDCI Pre-

<sup>&</sup>lt;sup>8</sup> SDCI concedes that Policy H 5.19 is not part of a neighborhood plan. (See SDCI Pre-Hearing Br. at 12 n. 36)

Hearing Br. at 10). Beyond the absence of an adverse impact, there is no applicable SEPA policy. SDCI concedes the City's SEPA Housing Policy does not apply to major institution development and the City-University Agreement's housing goals involve market-rate housing and do not require affordable housing. (Exhibit D1 at 24, 76). There is no basis in SEPA or the City-University Agreement for SDCI's proposed affordable housing conditions.

Again, the issue here is not whether affordable housing is a good thing. The University is proud to join with Seattle Housing Authority in developing affordable housing in the University District. The issue is whether the City ought to be able to rearrange the regulatory framework governing individual land use decisions. Ms. Waldman testified that the press release announcing this project was the basis of Conditions 1 and 2. This is a voluntary initiative that demonstrates the University's ongoing commitment to housing affordability. SDCI simply decided to "make it a commitment instead of just a press release," she said. This attempt to commandeer a voluntary initiative and impose it as a condition of approval on the Master Plan has no basis in SEPA or the regulatory framework established under the GMA.

### D. SDCI's transportation conditions do not comply with SEPA Rules.

SDCI's recommended transportation conditions are similarly unsupported. Despite the University's groundbreaking commitment to reduce the percentage of its SOV trips to 15 percent, SDCI proposed 13 transportation-related conditions. (*Id.*, Conditions 49-61). The parties have resolved nine conditions, but the University objects to Conditions 51, 52, 53, and 55.9 When exercising SEPA authority, SDCI must comply with applicable state regulations and the City's SEPA policies. WAC 197-11-660(1)(a); SMC 25.05.660.A.1. It has not done so here.

# 1. SDCI failed to conduct the required SEPA analysis, including reference to the specific SEPA policy on which its proposed mitigation relies.

In pre-hearing briefing, the University noted a lack of citation to specific SEPA policies in SDCI transportation conditions. SDCI dismissed this as "form over substance" and promised to

<sup>&</sup>lt;sup>9</sup> The University's agreement to the other conditions should not be construed as a waiver of its underlying objection that SDCI has failed to follow SEPA Rules in its proposed transportation conditions.

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connect the dots through witnesses at the hearing. (See SDCI Pre-Hearing Br. at 12-13). It never did that. Instead, John Shaw confirmed SDCI's transportation conditions mainly originate from a memorandum prepared by Emily Ehlers, a planner with SDOT. (See Exhibit D18). Ms. Ehlers did not testify, so the Hearing Examiner is left to rely on the speculation of others to divine her thoughts. Her memorandum lists measures to "mitigate the impacts" identified in the EIS, with no reference to the City's SEPA policies and no recognition of the limits SEPA places on mitigation measures. (See id.). Unsurprisingly, the conditions fail on both counts.

SEPA Rules mandate that decision-makers cite the specific SEPA policy on which a mitigation measure is based. SMC 25.05.660.A.2. SDCI's Recommendation contains only the most general overview of the City's SEPA authority; it is devoid of reference to transportation-related SEPA policies in SMC 25.05.675.R.2. (*See* Exhibit D1 at 59-60, 72-83). During the hearing, Mr. Shaw testified that he independently analyzed SDOT's recommended mitigation under SEPA, but neither he nor anyone else explained how any of the resulting conditions comply with transportation-related SEPA policies. This failure alone merits rejection of the four transportation conditions that remain disputed.

SDCI's failure to tie conditions to SEPA policies is more than a cosmetic error. By failing to engage in the exercise, SDOT and SDCI overlook critical limiting factors. The identification of an adverse impact is only the first step in the analysis. SDCI must then go one step further and determine whether the adverse impact will actually "undermine the stability, safety and/or character of a neighborhood or surrounding areas." SMC 25.05.675.R.2.a. This is analytical framework is unique in the City's SEPA policies because every development project has some adverse impact on the transportation system—every new development necessarily adds new people and new traffic. It has never been the City's policy to require transportation mitigation in every instance. Instead, the City has limited mitigation to circumstances in which the adverse transportation impact will "undermine the stability, safety, and/or character of a neighborhood or surrounding areas." *Id.* Condition 51 addresses increased transit demand in a particular corridor,

and Condition 52 aims to mitigate reductions in transit speed. There is no evidence that reductions in speed or increases in demand for transit undermine the stability, safety, or character of the areas in the primary and secondary impact zones. <sup>10</sup> SDCI never tied Conditions 53 and 55 to any specific impact at all. Absent that evidence, Conditions 51, 52, 53, and 55 must be rejected. SDCI lacks substantive SEPA authority to impose them.

## 2. SDCI's proposed mitigation is not reasonable or proportional to impacts identified in the EIS.

Conditions 51, 52, 53, and 55 also violate SEPA's rules of reasonableness and proportionality. Mitigation measures must be reasonable and capable of implementation. SMC 25.05.660.A.3. They must also be proportional to the project's impact. SMC 25.05.660.A.4.

### a. Condition 51—Metro Buses

Condition 51 would require the University to fund the undefined "operating costs" of six Metro buses even though the EIS concluded existing transit capacity can accommodate expected growth in demand. (Exhibit A19 at 3.16-51). This condition fly-specks data out of a system-wide, aggregate assessment of the Master Plan's impact on transit in the primary and secondary impact zones. The condition is based on data at a single screenline—NE Campus Parkway and Brooklyn Avenue. Mr. Shaw could not explain why 96 percent of demand to capacity (as opposed to 100 percent) at this one screenline is unacceptable, and he could only guess that the projected 164 additional riders is the equivalent of three busloads. Moreover, Mr. Shaw could not recall a single project where SDCI has conditioned approval on the applicant purchasing additional bus service.

Condition 51 is also disproportional to the University's actual expected impact. The EIS shows that Metro's decision to reduce capacity—not increased ridership resulting from the Master Plan—is the primary cause of the increase to 96 percent of capacity at the Campus Parkway and Brooklyn Avenue screenline. Current capacity there is 1,810 riders and demand is 1,110 riders

<sup>&</sup>lt;sup>10</sup> If the City had identified an impact that undermined neighborhood stability, safety, or character, it would then be required to consider the factors identified in SMC 25.05.675.R.2.a in fashioning an appropriate mitigation measure. It is not clear if and how the City analyzed those factors with regard to Conditions 51, 52, 53, and 55.

(61 percent of capacity). (*Id.* at 3.16-51 (Table 3.16-19)). The Master Plan is expected to add 164 riders. (*Id.* at 3.16-53 (Table 3.16-21)). If capacity remained constant, demand at this screenline at full build-out would rise by only 3 percent. Ms. Acutanza explained in direct testimony that demand is projected to rise 14 percent because Metro plans to reduce capacity by one-third (from 1,810 to 1,210 riders) due to nearby light rail service. (*See also id.* at 3.16-51). Condition 51 also fails to account for fare revenue from new riders and contains no mechanism to assure that Metro would deploy the added capacity in a way that addresses the expected impact.

This condition is also incapable of implementation because it does not define the operating costs to be borne by the University. Mr. Shaw conceded that he was unaware of how Metro would calculate operating costs for this purpose.

The Hearing Examiner should reject Condition 51.

## b. Condition 52—RapidRide Expansion

Condition 52 suffers from all maladies discussed above. In the first instance, it is not proportional to the University's actual impact. SDCI calculates the University's share based on a 20 percent SOV mode split, which was the worst case scenario analyzed in the EIS. The Master Plan requires the University to achieve 15 percent. SDCI also ignores that the University will need a decade or more to build out the entire growth allowance.

SDCI also uses an unprecedented approach to calculating the University's share of mitigation expenses. SDCI's proposed percentages are based on projected reductions in transit speeds. (*See id.* at 3.16-50). Mr. Swenson explained that contribution to traffic-congestion mitigation should be based on a project's contribution to the cause rather than the measure of its effect. Mr. Shaw agreed that the common way to assess mitigation contribution is to base it on a project's proportionate share to traffic counts.

Further, Condition 52 amounts to double dipping. It seeks to address transit delays. Mr. Glass-Hastings agreed that 90 percent of transit delays are attributable to traffic congestion. That is precisely what Conditions 49 and 50, on which SDCI and the University have now reached an

agreement, aim to mitigate. Requiring the University to contribute to traffic signal improvements (Condition 49), ITS improvements (Condition 50), and RapidRide expansion (Condition 52) is cumulative and inconsistent with the requirements of SMC 25.05.660.A.

This condition is also incapable of being accomplished. Mr. Glass-Hastings admitted that SDOT already has a funding plan in place for the Roosevelt line, including a federal grant proposal. (*See* Exhibit D21). That proposal lays out SDOT's funding plan, and it does not mention SEPA mitigation as a funding source. (*See id.*). The University is a public institution that does not hold its own purse strings. It is not possible for the University to bear substantial undefined costs. Mr. Glass-Hastings could not provide a cost estimate for the other two RapidRide lines in Condition 52, nor could he confirm that SDOT and Metro would properly account for route-overlap in those two lines. (*See* Exhibit D19).

Condition 52 should be rejected.

## c. Conditions 53 and 55—Transit Stop Expansion and Development

Conditions 53 and 55 are similarly disproportionate and incapable of implementation. Mr. Shaw could not explain what the proposed language in these conditions actually requires the University to do. Condition 55 also appears to be cumulative with Condition 52. The grant proposal for the Roosevelt line includes funding to build and expand transit stops along the line. (Exhibit D21). The Hearing Examiner should reject these conditions.

E. The Hearing Examiner should reject Conditions 12 (Street Widths) and 17 and 18 (Portability of Development Capacity) because they are inconsistent with the City-University Agreement's approval standards.

Finally, the Hearing Examiner should reject Conditions 12, 17, and 18. The City-University Agreement requires SDCI to balance the need to maintain the livability and vitality of surrounding neighborhoods with the need for development to fulfill the University's public mission. CUA § II.B.8.d. With respect to these three conditions, the University's needs outweigh the purported benefit to the surrounding neighborhoods.

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### 1. Condition 12: Street Widths

Condition 12 would insert a reference to the City's Street Improvement Manual into the development standards chapter of the Master Plan to govern street widths for City-owned rights of way. (*See* Exhibit D1 at 30). This is unnecessary. The University already proposes generous public realm allowances as a development standard in the Master Plan. (*See* Exhibit D2 at 242 to 244). The public realm allowances provide space for "rights-of-way, streetscapes, sidewalks, street lighting, street furniture, bio-swales, pedestrian paths, trails, courtyards, plazas, parks, landscapes, skybridges and pedestrian bridges, and publicly accessible open spaces." (*Id.* at 242). SDCI produced no evidence establishing that the relevant street widths are substandard. Indeed, Ms. Waldman admitted SDCI has not studied this issue closely. Condition 12 should be rejected.

### 2. Conditions 17 and 18: Portability of Development Capacity

Conditions 17 and 18 would delete language allowing the University to shift development capacity between campus sectors. SDCI contends this language could preclude opportunities for CUCAC review and comment. (*See* Exhibit D1 at 34). The Hearing Examiner should reject these conditions for two reasons. First, the City Council required the language in the second bullet point on page 233 as part of the 2003 Campus Master Plan. The proposed Master Plan simply includes what was required before. Second, prior to the hearing, the University proposed revisions to the other language on page 232 and 233 to ensure CUCAC review. (*See* Applicant's Pre-Hearing Br., App. A at 12). When balanced against the University's need for flexibility in developing space to fulfill its public mission and its proposed revisions to ensure CUCAC's participation, SDCI's concern over CUCAC's involvement cannot justify these conditions. The Hearing Examiner should reject Conditions 17 and 18.

### V. CONCLUSION

The University of Washington and SDCI have been working to resolve disagreements over the conditions in SDCI's recommendation to approve the Master Plan. The parties agree on nearly all conditions, but a few areas of disagreement remain. The University also agrees to

1 voluntarily comply with many CUCAC recommendations, as detailed in Appendix A to its Pre-Hearing Brief. 2 3 The University of Washington requests that the Hearing Examiner recommend approval 4 subject only to the agreed conditions as set out in Appendix A to this brief. 5 DATED this 2nd day of January, 2018. 6 HILLIS CLARK MARTIN & PETERSON P.S. 7 8 By. 9 T. Ryan Durkan, WSBA #11805 Amit D. Ranade, WSBA #34878 10 Abigail Pearl DeWeese, WSBA #48085 Attorneys for Applicant 11 University of Washington ND: 12662.073 4836-0324-1048v18 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26