BEFORE THE HEARING EXAMINER CITY OF SEATTLE In the Matter of the Appeal of: Hearing Examiner File:

LISA PARRIOTT, AND
FRIENDS OF THE SILENT GIANT
from a decision by the Director,
Department of Construction and Inspections

MUP-16-019; MUP-16-020

Department Reference:
3024037

APPELLANT PARRIOTT'S
BRIEF REGARDING DECISION TYPE

Appellant Parriott submits this brief in response to the Prehearing Order of the Hearing Examiner, entered November 2, 2016. The order sets a deadline of November 10, 2016 for appellants to file briefs addressing the question of whether their appeals seek review of a Type II issue, which is within the jurisdiction of the Hearing Examiner, or a Type I issue, which can only come before the Hearing Examiner by way of appeal from a code interpretation.

HISTORIC LOT EXCEPTIONS UNDER 3,200 FEET RECEIVE TYPE II SPECIAL EXCEPTION REVIEW

The Seattle land use code mandates Type II special exception review for lots under 3,200 square feet that invoke the Historic Lot Exception. SMC 23.44.010(B)(3). The legal question now before the Hearing Examiner is whether this Type II review encompasses the Department's decision to approve the entire project, or alternatively, only those portions of the project relating

to the criteria for window size and lot depth. For the following three reasons, Appellant believes the most accurate reading of the land use code is that the Type II review encompasses the entire project:

- 1) The plain language of the code calls for Type II review of the entire project;
- 2) The legislative materials placed before the Seattle City Council when this section of the code was drafted call for Type II review of the entire project;
- 3) Other sections of the code do invoke Type II review for only limited, criteria-specific portions of projects, and these other code sections are written very differently than the section for Historic Lot Exceptions.

I. PLAIN LANGUAGE OF THE CODE

"Special exception review for lots less than 3,200 square feet in area. A special exception Type II review as provided for in Section 23.76.004 [Hearing Examiner review] is required for separate development of any lot with an area less than 3,200 square feet that qualifies for any lot area exception in subsection 23.44.010.B.1 [Historic Lot Exception and others]. The special exception application shall be subject to the following provisions:

- a. [criteria on lot depth]
- b. [criteria on windows]
- c. [criteria on windows]"
- SMC 23.44.010(B)(3) (emphasis added).

The section above calls for two things. In the first two sentences, it calls for Type II special exception **review** of sub-3,200 Historic Lots. In the third sentence, it calls for certain

criteria to be added to the **application** for a special exception. The third sentence is not a limitation on the first two sentences; it is an addition to the first two sentences.

The first two sentences establish the scope of review, and they state that the lot itself shall be the subject of the review. The third sentence then adds additional criteria that an applicant on such a lot must meet in order to protect neighbors' privacy. The third sentence relates to the applicant's actions, not the reviewer's actions. The reviewer's actions are outlined in the first two sentences, which say that the reviewer must look at the entire lot.

It is a canon of construction to read statutes as a unified whole, and to give the words their ordinary meaning whenever possible. Reading this entire section of the land use code as a whole, without adopting any strained interpretations, what it says is this: The Hearing Examiner has the jurisdiction to review the approval of a project when the project is both a Historic Lot Exception and occurring on the unusually small lot size of less than 3,200 feet. In addition to being subject to this whole-project review by the Hearing Examiner, the project applicant may also be required by the Department to resize his windows and lot depth.

This sensible reading comports with both the plain text of the code and with public policy. A Historic Lot Exception is already by definition an exception, and a lot of less than 3,200 feet is an exceptionally small lot. For these "exceptions on top of exceptions," the City Council has called for a stricter review process than decisions about lot size normally receive. An exception layered on top of an exception ceases to be the kind of non-discretionary, straightforward decision that the City Council defines as a Type I. It is instead a special, exceptional, discretionary decision that the City Council defines as a Type II.

¹ Ralph v. State Dept. of Natural Resources, 182 Wn.2d 242, 248 (2014) ("We must interpret a statute as a whole...").

² Segura v. Cabrera, 184 Wn.2d 587, 593 (2015) ("We look to the statute's plain and ordinary meaning...").

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The Department and Applicant would read this code section differently, with the applicant's window and lot-size criteria acting as limitations on the Hearing Examiner's scope of review. That is simply not what the code section says. The code section says the Hearing Examiner has broader than usual jurisdiction over this particular type of lot. The additional criteria are factors the applicant must take into consideration, not constraints on the Hearing Examiner's jurisdiction.

II. LEGISLATIVE MATERIALS

The Department and Applicant read additional words into the land use code that are not present. This not only contravenes the code's plain language, it also defies the intention of the City Council when the Council drafted the section on sub-3,200 Type II review in 2014.

On December 19, 2013, Andy McKim of the Department presented to the City Council the "Director's Report: Recommended Amendments for Small Lots in SF [Single Family] Zones." That document is attached to this brief as Attachment 1 – Seattle City Clerk's File 313652.³ The Director's Report was intended to guide the Council in responding to public outrage over the development of undersized lots in neighborhoods using loopholes in the Historic Lot Exception. It is permissible to use this document to determine the City Council's intent.⁴

On page 4 of the Director's Report, Mr. McKim wrote that the proposed final Council ordinance would establish a hard floor of 2,500 feet for Historic Lots; no lot could be smaller than that area. For Historic Lots larger than 2,500 but smaller than 3,200 feet, Mr. McKim wrote:

³ The Director's Report is available directly from the City online at: http://clerk.seattle.gov/~CFs/CF_313652.pdf. ⁴ Brown v. City of Yakima, 116 Wn.2d 556, 562 (1991) ("Recourse to the Final Legislative Report as an aid in determining intent has been sanctioned.")

"Additional restrictions would apply for developments on lots less than 3,200 square feet in area. Developing lots under 3,200 s.f. would require a special exception review, a Type II approval requiring public notice and an opportunity for appeal to the Hearing Examiner. Additional structure height and depth restrictions would <u>also</u> apply to lots under 3,200 s.f." (Emphasis added)"

The Director's Report makes clear that the plain language of the code section means exactly what it says: Lots under 3,200 feet are subject to Type II review. They are appealable directly to the Hearing Examiner. The language relating to window size and lot depth is an addition to this review, not a limitation on this review. The Director's Report says that the structure and lot restrictions will **also** apply to the project, meaning that the restrictions are an addition to the Hearing Examiner's Type II review, not a limitation on it.

Mr. McKim, the author of the Director's Report, is a member of the team representing the Department in this matter. He may argue the report he wrote was intended to be read differently than above. Mr. McKim's intent in writing the Director's Report, however, is not what matters. What matters is what the document actually said to the Council members, not what its author wishes it said. The Hearing Examiner must determine what the Council thought it was doing when it passed the ordinance, not what Mr. McKim thought the Council was doing.

The legislative materials that were before the City Council leave no room for doubt: The Council was attempting to address citizens' concerns about development. It did so by making

⁵ "One cannot rely on affidavits or comments of individual legislators to establish legislative intent. What may have been the intent of an individual legislator may not have been the intent of the legislative body that passed the Act." *Johnson v. Continental West, Inc.*, 99 Wn.2d 555, 560–561 (1983). (The Court then went on to the use the Final Legislative Report without any affiant's explanation.) If it is not permissible to use the post-hoc comments of a legislator to determine legislative intent, it is still less permissible to use the post-hoc comments of a drafter of the Final Legislative Report to determine legislative intent. *Johnson* permits the use of legislative history materials themselves, but not any statements by drafters. *Id.* at 561.

certain types of Historic Lot development subject to a more rigorous scope of review than the usual Type I rubber stamp. It wrote SMC 23.44.010(B)(3) specifically to effectuate that goal.

III. COMPARISONS WITH OTHER SPECIAL EXCEPTIONS

There is no blanket definition of the term "special exception" anywhere in the land use code. In construing the meaning of this term as it applies to sub-3,200 Historic Lots, it may be helpful to compare the term as it appears in the Historic Lot Exception with the way it appears in other sections of the code. "The plain meaning of a statute may be discerned from all that the Legislature has said in the statute and related statutes which disclose legislative intent about the provision in question."

When the term "special exception" appears in other sections of the code, it does so in a way that explicitly restricts the Hearing Examiner's Type II scope of review to only the criteria of the special exception, not the project as a whole. This restrictive usage is very different from the broader way the term is used in the Historic Lot Exception on sub-3,200-foot lots. If the City Council had wanted to restrict the Hearing Examiner's jurisdiction to only the criteria on window-size and lot-depth, it could have drafted the section on sub-3,200-foot lots to resemble these other, more restrictive special exceptions, quoted below:

From the land use code on Airport Height Overlay Districts:

"The Director may permit a structure to exceed the limits of the Airport Height
Overlay District as a special exception pursuant to Chapter 23.76, Procedures for
Master Use Permits and Council Land Use Decisions. **Such an exception shall**

⁶ Central Puget Sound Regional Transit Authority v. Airport Investment Company, 186 Wn.2d 336 (2016).

only be permitted if the Director finds that all of the following conditions exist: [A list of four criteria follows.]" SMC 23.64.010 (emphasis added).

From the code on signs:

"The Director may authorize exceptions to the regulations for the size, number, type, height and depth of projection of on-premises signs in neighborhood commercial, commercial, downtown office core, downtown retail core, downtown mixed commercial, areas of Pike Market Mixed not located in a Historic District, and downtown harborfront zones as a special exception pursuant to Chapter 23.76, Procedures for Master Use Permit and Council Land Use Decisions, except that no special exception may be authorized for a sign using video display methods. When one or more of the conditions in subsection 23.55.040.A have been met, the characteristics described in subsection 23.55.040.B shall be used to evaluate the merits of the proposal. [a long list of characteristics follows]" SMC 23.55.050 (emphasis added)

From the Industrial Development Standards on building size:

"If a building meets all of the conditions in subsection 23.50.027.F.2, then pursuant to the procedures in Chapter 23.76, the Director may grant a special exception to the size limits in Table A for 23.50.027 for one or more uses in that building and any other buildings on the lot, **based upon the criteria in subsection** 23.50.027.F.3. [a list of criteria follows.]" SMC 23.50.027 (emphasis added).

From the land use code on hospital size:

"In each sector except the NW Sector, medical services are limited to no more than 15,000 square feet of gross floor area per business establishment, except that as a special exception pursuant to Chapter 23.76 the Director may permit a single business establishment containing medical services uses up to 25,000 square feet of gross floor area, **based on consideration of the following factors**: [a list of two factors follows.]" SMC 23.75.095 (emphasis added).

Still other examples of special examples appear in other sections of the code, but it is not necessary to list them all to establish the point. The point is, in each of these sections, a special exception is identified and then criteria are specifically listed that the Director may use in order to evaluate whether or not to grant the special exception.

Contrast these sections to the Historic Lot Exception section at issue in this matter: "A special exception Type II review as provided for in Section 23.76.004 [Hearing Examiner review] is required for separate development of any lot with an area less than 3,200 square feet that qualifies for any lot area exception in subsection 23.44.010.B.1."

The other code sections all clearly state that the special exception is a decision for the Director to make based on specific, enumerated criteria. In such cases, the Hearing Examiner's review is limited only to those criteria. The Historic Lot Exception section, by contrast, first establishes Type II review by the Hearing Examiner for the entire lot, and *only then* goes on to list additional criteria the applicant must provide to the Director for the Director's consideration. This is very different wording than the wording of the other sections' special exceptions. It is a much broader scope of review.

The City Council has proven in the numerous above-quoted sections that it knows how to draft an ordinance that clearly limits the Hearing Examiner's scope of review to certain special

criteria. If it had wanted to limit the Hearing Examiner's review of sub-3,200 Historic Lot Exceptions to only the window-size and lot-depth criteria, it could have written the Historic Lot Exception section to resemble the other sections that only invoke criteria-specific review of special exceptions. The fact that the City Council wrote the sub-3,200 Historic Lot Exception differently than other special exceptions is an indication that it thought of these lots differently and wanted them reviewed differently.

CONCLUSION

Between the plain text of the code, which calls for lot-wide Type II review; the legislative history materials, which also call for lot-wide Type II review and make it explicit that the window-size and lot-depth are additions, not limitations, to that review; and the examples of the other special exceptions from elsewhere in the code that demonstrate the City Council's ability to restrict scope of review when so desired, it is clear that SMC 23.44.010(B)(3) grants the Hearing Examiner jurisdiction to consider Historic Lot Exceptions on lots under 3,200 square feet.

Appellant asks the Hearing Examiner to issue an order to the effect that she retains jurisdiction over this case as a Type II special exception, without the need for Appellant to appeal a time-consuming, multi-thousand-dollar code interpretation.

Respectfully submitted this 10 day of November, 2016

Peter Goldman, WSBA No. 14789 615 Second Ave., Suite 360 Seattle, WA 98104-2245

Seattle, WA 98104-224 (206) 223-4088

pgoldman@wflc.org

Alex/Sidles, Rule 9 intern asidles@wflc.org

APPELLANT PARRIOTT'S BRIEF
REGARDING DECISION TYPE - 10

Washington Forest Law Center 615 Second Ave., Suite 360

SERVICE

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1	CERTIFICATE OF SERVICE
2	I certify that on this date, I electronically filed a copy of this APPELLANT
3	PARRIOTT'S BRIEF REGARDING DECISION TYPE with the Seattle Hearing Examiner
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.4	Helsell Fetterman, LLP 1001 Fourth Ave., Suite 4200
.5	Seattle, WA 98154-1154 sjacobs@helsell.com
.6	David Graves Seattle Department of Construction and Inspections P.O. Box 34019
11	0 41 3374 00104 4010

Seattle, WA 98124-4019 david.graves3@seattle.gov

The foregoing being the last known email addresses of the above-named parties.

DATED this 10th day of November, 2016, at Seattle, Washington.

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